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|  | **Name:** Adam B. Frankel |
| **Company Name:** CBAM Partners, LLC |
| **Current or Most Recent Role:** General Counsel & Corporate Secretary |
| **Are You The Top Legal Officer – GC/CLO?** Yes |
| **To whom do you report (Title):** CEOs/ Founders**Company Revenue and Market Cap**: $11 billion of assets under management**Public?** No |
| **Education:**Brown University - B.A. Stanford Law School - J.D. | **Primary Area(s) of Practice/Experience (explain):**Public company securities; M&A; private funds, internal investigations, and employment law |
| **Law School Graduation Date:** 1993 | **Law Firm Experience (firms, years practice areas):**4 years - Simpson, Thatcher & Bartlett |
| **GC Sponsors:** **(GCs or former GCs who recommends you as a Ready Now Fortune 1000 GC)**Michael Tucker – GC AvisVernon Baker – former GC of ArvinMeritor | **Industry Experience:**Automotive, Railroad, Investment Banking, Asset Management |
| **Any Geographical Preferences or Restrictions?** No restrictions – prefer a coastal city or a major metropolitan area | **Size of the Largest Team Managed (explain):**Genesee & Wyoming – 35 people (legal, H.R. and government relations)Evercore – 30 people (legal and compliance) |
| **Prior In-House Titles:**General CounselSenior Counsel | **Contact Information:**frankel.adam@gmail.com (203) 249-998269 Harding Road, Old Greenwich CT 06870 |
| **Explain level of Corporate Governance and Corporate Board Room Experience:**Corporate Secretary for two public companiesAudit Committee Chair for Sesame Workshop ($200 million revenue not-for profit) | **In which of these critical areas do you have experience –** M&A, Securities, Corporate Governance, Finance, Litigation Management, Compliance, Regulatory and Enterprise Risk Assessment? Please explain. M&A; Securities, Corporate Governance, Compliance, Litigation, Regulatory, Risk Management – SOX and Cyber-related reporting |
| **Experience with Public Company Securities Issues:**15 years’ experience as GC of two public companies.3 years of experience as the lead securities lawyer for Ford Credit. | **International Experience:**Substantially all of my private practice experience was in the London office of Simpson Thatcher. Significant international in-house experience running M&A legal for foreign acquisitions and joint ventures |
| **Name:** Adam B. Frankel |  |
| Summary of Experience/Bio: (300 words or less)\*Experienced public company General Counsel for two highly-regulated businesses in diverse industries. \* First in-house lawyer at both companies, and led Evercore through the IPO process.\* Proven record of building and leading legal and compliance teams:  \* ran one of the most diverse departments at my last two companies, and  \* at each company, recruited and developed individuals who succeeded me when I left.\* Experienced in crisis management, both in the public company and not-for-profit sector. \* Served as the primary contact for multiple regulators and law enforcement agencies. \* Strategic advisor to boards of directors and as member of executive management team. \* Legal expertise includes M & A, securities, internal investigations and employment law. \* Industry expertise in investment banking, asset management, transportation and manufacturing. |